

Stimuli-Responsive SERS Nanoparticles: Conformational Control of Plasmonic Coupling and Surface Raman Enhancement

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Small particles on the nanometer scale are of considerable current interest in chemistry, biology, and medicine due to their size-dependent electronic and optical properties and also their dimensional similarities with biomacromolecules (e.g., proteins and nucleic acids).¹ Colloidal gold nanocrystals are considered to be a “plasmonic nanoruler” because they contain free electrons that can be collectively and resonantly excited at optical frequencies, leading to a large enhancement of the electromagnetic field near the particle surface.² Recent work has shown that nanoscale junctions or nanogaps between two or more particles are associated with plasmonic “hot spots”^{3–5} and that surface-enhanced Raman scattering (SERS) increases by 2 orders of magnitude when the gap distance is reduced from 35 to 10 nm.⁶ Plasmonic nanoparticles have also found use in super-resolution optical imaging^{7,8} and tip-enhanced Raman scattering (TERS)⁹ as well as sequence-specific DNA detection.^{10,11}

Here we report the development of stimuli-responsive SERS nanoparticles in which plasmonic coupling and electromagnetic field enhancement are controlled by molecular conformational changes. The experimental design involves the use of colloidal gold nanocrystals and thiolated block copolymers consisting of a pH-responsive polymethacrylic acid (PMAA) block, an amphiphilic polyethylene glycol (PEG) block, and a terminal lipoic acid anchoring group. Stimuli-responsive polymers exhibit reversible conformational changes in response to environmental factors such as pH, temperature, organic molecules, and metal ions.¹² The basic principle is that large structural changes such as conformational swelling and collapsing can reversibly alter the steric hindrance, solubility, or light scattering properties of molecular conjugates. Recent work has linked thermoresponsive polymers to colloidal nanoparticles and has shown that the resulting nanoconjugates are stable and are responsive to temperature.^{13,14} In addition, poly(*N*-isopropylacrylamide) (pNIPAM) is found to trap analyte molecules near the surface of gold nanoparticles, leading to increased SERS signals.¹⁵ This increase is achieved with single particles and does not take advantage of plasmonic coupling between adjacent nanoparticles.

As shown in Figure 1, the stimuli-responsive polymer has a random-coil expanded conformation above pH 4 because the carboxylic acid groups are ionized and the PMAA segment is hydrophilic under such conditions. At more acidic pH's (pH < 3), the PMAA segment becomes nearly neutral and slightly hydrophobic and changes to a condensed (collapsed) conformation. Meanwhile, the PEG layer is neutral and amphiphilic (soluble in both polar and nonpolar solvents) and can intermix with the neutralized methacrylic acid groups, further condensing the overall copolymer structure in solution. When gold nanocrystals are coated with a layer of this copolymer, strong steric and electrostatic forces prevent the particles from contacting each other at pH > 4. When the pH is lowered to 3, however, large conformational changes pull and condense adjacent particles into small clusters (Figure 1c). This

conformational transition is indicated by dynamic light scattering (DLS) measurement showing that the hydrodynamic size of the polymer-coated particles decreases from 85 nm at pH 7 to 65 nm at pH 3 (see Supporting Figure S1).

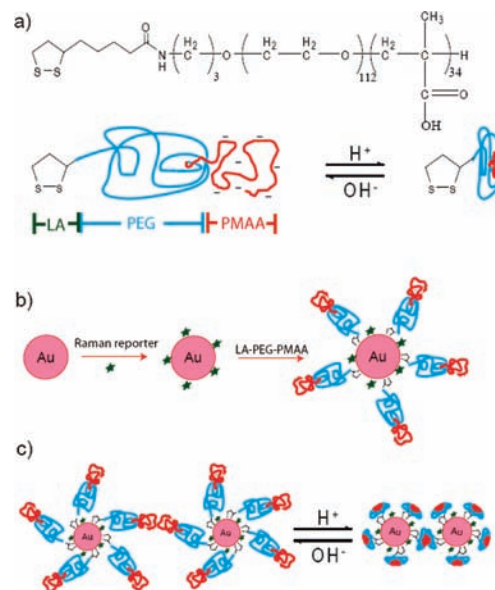


Figure 1. Schematic diagrams showing the structure, preparation, and pH-responsive behavior of smart SERS nanoparticles. (a) Molecular structure of pH-induced conformational changes of a thiolated block copolymer consisting of a pH-responsive polymethacrylic acid (PMAA) block (MW 3000), an amphiphilic polyethylene glycol (PEG) block (MW 5000), and a terminal lipoic acid (LA) anchoring group. (b) Preparation of dye-encoded gold nanoparticle with SERS and stimuli-responsive properties. (c) Nanoparticle aggregation induced by polymer conformational changes, leading to plasmonic coupling and SERS. Each gold particle (60 nm) was tagged with ~1,000 reporter molecules (such as organic dyes) and was encapsulated with ~10⁴ LA-PEG-PMAA copolymer molecules.

Transmission electron microscopy (TEM) shows the pH-induced nanoaggregates are mainly dimers, trimers, and small clusters, depending on the nanoparticle concentration and incubation times after the pH change (Supporting Figure S2). The nanoaggregates contain closely packed particles with separation distances as small as 4–6 nm, in agreement with the number-weighted DLS size data at pH 3. Considering that a PEG (5K) layer increases the hydrodynamic diameter of gold particles by 15–20 nm,¹⁶ it is surprising that the PEG-PMAA copolymer would give rise to such small distances between particles in its collapsed state. A likely explanation is that PEG and PMAA do not exist as separate domains but are structurally intermingled through hydrogen bonding and hydrophobic interactions (see Figure 1a). Similar structural changes are also observed from PEG-NIPAM copolymers, which are responsive to temperature (data not shown). Indeed, neutral and weakly hydrophobic ligands have been

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found to fold back and become buried in an amphiphilic PEG layer on the surface of stealth liposomes.¹⁷

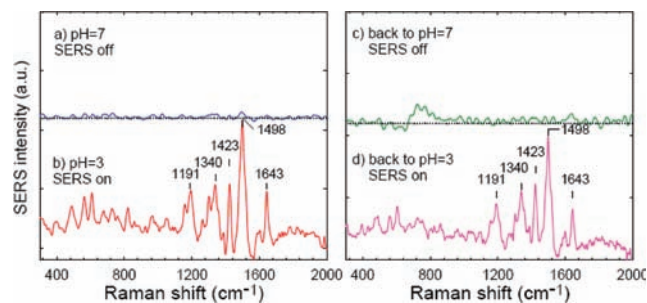


Figure 2. SERS spectra of stimuli-responsive gold nanoparticles obtained at (a) pH 7, (b) pH changed to 3, (c) pH changed back to 7, and (d) pH changed back to 3. SERS spectra were recorded on a compact Raman system using 785 nm (20 mW) laser excitation. Data acquisition time = 1 s.

Figure 2 depicts the SERS signals of a reporter molecule (a quencher dye called QSY) in response to pH. At neutral pH, the gold nanoparticles show a well-defined surface plasmon resonance peak at 535 nm (Supporting Figure S3), but no detectable SERS signals. At pH 3, the SERS spectrum is turned on by strong plasmonic coupling and electromagnetic enhancement modulated by the gap distance between gold nanoparticles. This coupling also results in significant broadening and red shifting of the absorption spectrum. The SERS spectrum can be completely “switched off” by changing the pH back to 7. In contrast, the absorption spectrum is less responsive and cannot be completely switched back (Supporting Figure S3). The SERS intensity ratios between the on and off states are ~ 30 – 35 under our experimental conditions (see Supporting Figure S4), whereas the absorbance (measured at 535 nm) changes only by a factor of 2. The observed conformational response is also strongly dependent on the density of polymer molecules on the particle surface, in agreement with the previous work of Minko.¹⁸ Gold particles with intermediate polymer densities (controlled by the kinetics of polymer adsorption) are most responsive, yielding the highest on/off intensity ratios. This finding could be explained by two factors: (1) PEG steric shielding helps to minimize particle aggregation and reduce background SERS signals; and (2) the adsorbed copolymers are able to adopt different conformations (from fully extended to fully collapsed) at different pH's. At low densities, the copolymers take on a “pancake-shaped” conformation and form a thin layer, which is much less effective in stabilizing the gold particles or in condensing adjacent particles. As a result, the SERS intensities are different only by a factor of 1.5 between pH 3 and 7 at low polymer densities (see Supporting Figure S5).

Quantitative studies reveal that both SERS and UV–vis signal changes take place in a narrow pH range of 2–4 (Figure 3a). A major difference is that the magnitude of intensity changes is much larger for SERS (high/low ratio = 20) than for UV–vis absorbance (high/low ratio = 2). When the pH is cycled between 7 and 3, the kinetics of SERS responses is similarly fast for on/off switching, with characteristic half-times of 3 min (see Figure 3b). This response behavior suggests that maximal SERS intensities are obtained with nanoparticle dimers, trimers, and small clusters (see Supporting Figure S2) and do not require the formation of large aggregates. This finding is consistent with the work of Van Duyne and co-workers, who have found that small nanoaggregates consisting of only 2–3 particles can be “hot” for single-molecule SERS.⁵

In summary, we have developed thiolated block copolymers consisting of a pH-responsive PMAA segment and an amphiphilic PEG segment for encapsulating gold nanocrystals. The results demonstrate that SERS signals can be switched on and off by molecular conformational changes. An important finding is that neutralized PMAA

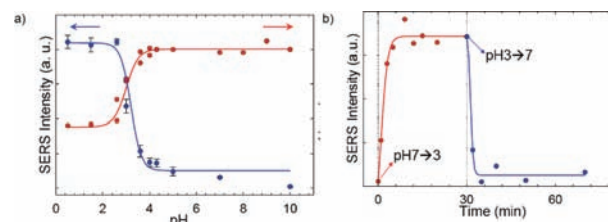


Figure 3. (a) Plots of SERS intensity and UV–vis absorbance as a function of pH, and (b) on/off kinetics of SERS signal changes between pH 7 and 3. The data were obtained by monitoring the SERS signal intensity at 1498 cm^{-1} and the optical absorbance at 535 nm.

molecules are able to interact with amphiphilic PEG chains, leading to highly compact and intermingled copolymer structures on the surface of nanoparticles. This type of molecular conformation change provides a new strategy for controlling the distances and plasmonic interactions between two or more gold nanoparticles. This work opens the possibility of using SERS nanoparticle tags for biomolecular binding and enzymatic cleavage studies.¹⁹

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Supporting Information Available: Materials, Procedures, and Supporting Figures S1–S5. This material is available free of charge via the Internet at <http://pubs.acs.org>.

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